OIPE
JUN 29 2005
PATENT & TRADEMARK OFFICE

MAIL STOP PCT
JC06 Rec'd PCT/PTO 29 JUN 2005

Docket No. 268090US0PCT

PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Rainer DYLLICK-BRENZINGER, et al.

SERIAL NO: 10/529,782 GAU:

FILED: March 30, 2005 EXAMINER:

FOR: ALKYLDIKETENE-CONTAINING AQUEOUS POLYMER DISPERSIONS, THEIR PREPARATION AND THEIR USE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

■ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

■ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

■ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon
Registration No. 24,618

Surinder Sachar
Registration No. 34,423

Customer Number
22850
Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Form PTO 1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO.	SERIAL NO.
LIST OF REFERENCES CITED BY APPLICANT		268090US0PCT	10/529,782
		APPLICANT: Rainer DYLLICK-BRENZINGER, et al.	
		FILING DATE: March 30, 2005	GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	3 223 544	12/14/65	SAVINA			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
	AO	04 100994	04/02/92	JP (English abstract only)		NO
	AP	94/05855	03/17/94	WO (with English abstract)		NO
	AQ	96/31650	10/10/96	WO (with English abstract)		NO
	AR	1 245 587	10/02/02	EP		NO
	AS	01/36491	05/25/01	WO		NO
	AT	00/23651	04/27/00	WO (with English abstract)		NO
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	LANDFESTER, Katharina. "Polyreactions in Miniemulsions", Macromol. Rapid Commun., vol. 22, no. 12, pages 896-936 2001
	AX	
	AY	
	AZ	☐ Additional References sheet(s) attached

Examiner	Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. PCT Application Serial No.: 10/529,782
Docket No.: 268090US0PCT

STATEMENT OF RELEVANCY

1) References AO-AS have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.

2) References_____ have been cited in the corresponding_____ Search Report. A copy of these references is being submitted herewith.

3) References AA, AT, AW are discussed in the specification. A copy of these references is being submitted here with.

4) References _____ are additional prior art known to Applicant. A copy of these references is being submitted herewith.

LIST OF RELATED CASES

Docket Number	Serial or Patent Number	Filing or Issue Date	Patent Appl. Publication No.	Inventor/ Applicant
268090US0PCT*	10/529,782	03/30/05		DYLLICK-BRENZINGER, et al.
0524-2795-0PCT	08/930,426	10/03/97		BONN, et al.

*Present Application; listed for information
NFO/hah